



# MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 26th OCTOBER 2017

**PRESENT:** Councillor M Summers (Chair), Councillors R Ford (Vice-Chair),  
C Cooke, J Faulkner, M Gant and M Greatorex

**Officers** John Wheatley (Executive Director Corporate Services),  
Jane Hackett (Solicitor to the Council and Monitoring  
Officer) and Angela Struthers (Head of Internal Audit  
Services)

**Visitors** Joan Barnett (Grant Thornton)

## **32 APOLOGIES FOR ABSENCE**

Apologies for absence were received from Councillor R Kingstone.

## **33 MINUTES OF THE PREVIOUS MEETING**

The minutes of the meeting held on 27<sup>th</sup> July 2017 were approved and signed as a correct record.

*(Moved by Councillor M Gant and seconded by Councillor J Faulkner)*

## **34 DECLARATIONS OF INTEREST**

There were no declarations of Interest.

## **35 ANNUAL AUDIT LETTER**

**RESOLVED:** The matter was discussed as presented by Grant Thornton and approved unanimously by Members.

## **36 AUDIT AND GOVERNANCE COMMITTEE UPDATE - PROGRESS REPORT AND UPDATE**

**RESOLVED:** The progress report and update was presented to members by Grant Thornton and discussion followed.

Members unanimously approved the Audit and Governance Committee update and Tamworth Borough Council progress report and update.

**37 LOCAL GOVERNMENT OMBUDSMAN ANNUAL REVIEW 2016/17**

**RESOLVED:** That the Committee

- 1 Endorsed the Annual Review Letter as attached at Appendix 1
- 2 Acknowledged the change of name in the office of the Ombudsman.

*(Moved by Councillor M Gant and seconded by Councillor J Faulkner)*

**38 REGULATION OF INVESTIGATORY POWERS ACT 2000**

**RESOLVED:** That the Committee

- 1 Endorsed the recommendations of the OSC
- 2 Referred the findings of the report and changes to the RIPA policy to Council for ratification
- 3 Endorsed the RIPA monitoring report for the quarter to 30 September 2017.

*(Moved by Councillor R Ford and seconded by Councillor C Cooke)*

**39 INTERNAL AUDIT UPDATE REPORT 2017/18**

**RESOLVED:** The Committee considered the attached report and raised the following points:

- 1 Building security featured prominently in the report.

*(Moved by Councillor M Summers and seconded by  
Councillor J Faulkner)*

#### **40 RISK MANAGEMENT UPDATE**

**RESOLVED:** That the Committee

- 1 Endorsed the Corporate Risk Register
- 2 Endorsed the updated Risk Management Policy

*(Moved by Councillor C Cooke and seconded by Councillor M  
Gant)*

#### **41 COUNTER FRAUD UPDATE**

**RESOLVED:** The Committee considered the attached report and raised the following points:

- 1 That paragraph 6.12 the word “can” be replaced by the word “shall”.

*(Proposed by Councillor R Ford and seconded by Councillor J  
Faulkner)*

- 2 Endorsed the Fraud & Corruption Policy Statement, Strategy & Guidance Notes, attached as Appendix 1 to the report.
- 3 Endorsed the Whistleblowing Policy, attached as Appendix 2 to the report.
- 4 Endorsed the Fraud Risk Register Summary, attached as Appendix 3 to the report.

*(Moved by Councillor J Faulkner and seconded by Councillor  
R Ford)*

#### **42 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE**

The Committee reviewed the timetable.

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Chair